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PATENT



Attorney Docket No. GENENT.083A

Date: July 10, 2001

Page 1



**ASSISTANT COMMISSIONER FOR PATENTS**

**WASHINGTON, D.C. 20231**

**ATTENTION: BOX PATENT APPLICATION**

Sir:

Transmitted herewith for filing is the patent application of Inventor(s):

Name: Diane Pennica  
Citizenship: U.S.  
Address: 2417 Hale Drive, Burlingame, CA 94010

Name: Paul Polakis  
Citizenship: U.S.  
Address: 1449 Cortez Ave., Burlingame, CA 94010

Name: Wayne Szeto  
Citizenship: U.S.  
Address: 1025 17<sup>th</sup> Street, #6, San Francisco, CA 94107

For:

**METHODS FOR ENHANCING THE EFFICACY OF CANCER THERAPY**

Enclosed are:

- (X) 47 sheets of informal drawings.
- (X) Initial UNSIGNED declaration by inventor(s).
- (X) Sequence Listing in 11 pages and diskette containing Sequence Listing in computer readable format.
- (X) Sequence Submission Statement.
- (X) This is a non-provisional application which claims priority under 35 U.S.C. §119(e) to provisional application number 60/228,914 filed on August 29, 2000, and under 35 U.S.C. §120 of copending U.S. Application Serial No. 09/759,056 filed on January 21, 2001, which in turn claims priority to provisional application Nos. 60/175,849 filed on January 13, 2000, 60/197,089 filed on April 14, 2000, and 60/228,914 filed on August 29, 2000, the disclosures of which are hereby expressly incorporated by reference.

**CLAIMS AS FILED**

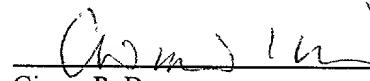
| FOR                | NUMBER<br>FILED | NUMBER<br>EXTRA | RATE  | FEES  |
|--------------------|-----------------|-----------------|-------|-------|
| Basic Fee          |                 |                 | \$710 | \$710 |
| Total Claims       | 66 - 20 =       | 46×             | \$18  | \$828 |
| Independent Claims | 4 - 3 =         | 1 ×             | \$80  | \$80  |

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|  |                |     |
|--|----------------|-----|
| If application contains any multiple dependent claims(s), then add | \$270          | \$0 |
| <b>TOTAL FILING FEE</b>  | <b>\$1,618</b> |     |

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- A check in the amount of \$1,618 to cover the filing fee is enclosed.
- Return prepaid postcard.
- The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment to Account No. 11-1410.
- Please use Customer No. 20,995 for the correspondence address.



Ginger R. Dreger  
Ginger R. Dreger  
Registration No. 33,055  
Attorney of Record

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Assistant Commissioner for Patents  
Washington, D.C. 20231

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

**Attorney Docket No. :** GENENT.083A

**Applicant(s) :** Pennica et al.

**For :** METHODS FOR ENHANCING THE  
EFFICACY OF CANCER THERAPY

**Attorney :** Ginger R. Dreger

**"Express Mail"**

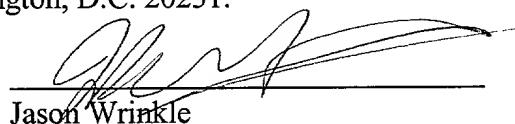
**Mailing Label No. :** EL544395861US

**Date of Deposit :** July 10, 2001

I hereby certify that the accompanying

Transmittal Letter in 2 pages; Specification in 85 pages; 47 sheets of drawings;  
**UNSIGNED** Declaration by Inventor in 2 pages; Sequence Listing in 11 pages  
and diskette containing Sequence Listing in computer readable format, Sequence  
Submission Statement; Check for Filing Fee; Return Prepaid Postcard

are being deposited with the United States Postal Service "Express Mail Post Office to  
Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to the  
Assistant Commissioner for Patents, Washington, D.C. 20231.



\_\_\_\_\_  
Jason Wrinkle

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PATENT  
GENENT.083A  
Date: July 10, 2001

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) : Pennica et al.

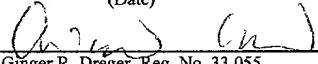
I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

Appl. No. : Unknown

July 10, 2001

(Date)

Filed : Filed Herewith

  
Ginger R. Dreger, Reg. No. 33,055

For : METHODS FOR  
ENHANCING THE  
EFFICACY OF CANCER  
THERAPY

**SEQUENCE SUBMISSION STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

I hereby state that the information recorded in computer readable form is identical to the written sequence listing submitted herewith as required in 37 CFR § 1.821(f) and (g).

I further state that this submission includes no new matter.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: July 10, 2001

By: Ginger R. Dreger  
Ginger R. Dreger  
Registration No. 33,055  
Attorney of Record